



Main trading venues and brokers selection

CaixaBank, S.A.

VERSION CONTROL

Version	Date	Control
1	23/10/2017	<i>Version approved by the Board of Directors</i>
2	25/10/2018	<i>Update approved by the Board of Directors</i>
3	30/01/2020	<i>Update approved by the Board of Directors</i>
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6	23/03/2023	<i>Update approved by the Board of Directors</i>

MAIN TRADING VENUES AND BROKERS SELECTION

EQUITY

Execution venues
Bolsas y Mercados Españoles– BME (XBAR, MABX, XLAT, XVAL, XBIL, XMAD...)
Euronext Amsterdam (XAMS, ALXA, TNLA,...)
Euronext Brussels (XBRU, ALXB, MLXB, TNLB, VPXB, XBRD...)
Euronext Lisbon (XLIS, ALXL, ENXL...)
Euronext Paris(XPAR, ALXP, ENLX,...)
Wiener Borse (WBAH, WBDM,...)
Deutsche Borse (XETR, XFRA, XETA...)
Borsa Italiana (MTAA, ETFP, MTAH...)
London Stock Exchange (XLON,...)
Euronext Dublin ((XMSM, XESM...)
Nasdaq OMX (Helsinki, Stockholm and Copenhagen) (NURO, XCSE, XHEL, XSTO...)
First North (FNDK, FNFI, FNSE...)
Oslo Bors (XOSL, XOAS, XOSC...)
SIX Swiss Exchange (XSWX...)
Athens Stock Exchange (XATH, ENAX...)
ASX All Markets (Australian Securities Exchange. XASX...)
Canada (XTSE, NEOE, PURE, XATS, XCX2, LYNX, OMGA, XTNX...)
Osaka Securities Exchange (XOSE,...)
Tokyo Stock Exchange (XTKS,...)
Singapore Exchange (XSES...)
New York Stock Exchange NYSE (XNYS, XCIS...)
Nasdaq (XNAS, XNMS,...)
United States (AMEX, ARCX, EDGA, EDGX, IEXG, XASE, XBOS, XCHI, XPSX...)
Pink Sheet USA (PINX)
Hong Kong Exchange and Clearing (XHKG)
Australian Securities Exchange (XASX,...)
Turquoise (TQEX, TRQX, TRQM,...)
Cboe (BEATS, CEUX, LISX, BATD, BATF, BATY, BATH, CHID, CHIO, CHIX, BOTC, BATS, CEUD,...)
Aquis (AQEU, AQXE, EQED...)
Equiduct (EQTA, EQTB,...)
Sigma X (SGMX)
Citadel (CCEU, EUCC...)

Morgan Stanley SI (MSSI, MSTX, MESI, MSPL,...)
 Tower Research Capital Europe (TRSI,...)
 Citigroup (CGET, ...)
 Members Exchange (MEMX, ...)
 Miax Pearl Equities (EPRL, ...)
 XTX Markets SAS (XTXE, ...)
 HRTEU Limited (HREU, ...)
 UBS ATS (UBSA, ...)

Financial Institutions

Morgan Stanley & CO PLC/ Morgan Stanley Bank International Limited
 Goldman Sachs International
 Citigroup
 UBS

DERIVATIVES & FX

Execution venues

MEFF Exchange (Spanish Market of Future Transactions)
 EUREX Deutschland
 New York Mercantile Exchange
 Chicago Mercantile Exchange
 Chicago Board of Trade
 Commodities Exchange Center – COMEX (XCEC, ...)
 Bloomberg Platform
 Reuters Transaction Services
 Tradeweb
 360T
 Xetra (XETB, XETA, ...)
 Eurex (XEUB, XEUR, ...)

Flex Trade
 Solid
 EBS Brokertec
 ICAP-EBS Service Company
 FXALL
 Aurell BGC
 HPC SA
 CaixaBank

Financial Institutions

Santander ETDS (Exchange Trade Derivatives)
 Ahorro Corporación
 Altura Markets
 Barclays Capital
 BGC
 Capital Markets
 CIMD
 GFI Brokers
 ICAP Europe Limited
 Key Capital Partners
 RP Martin Brokers Group
 Tullet Prebon
 Tradition
 JB Drax Honore
 Makor Securities
 Dowgate

FIXED INCOME

Execution venues

Spanish Electronic Financial Assets Trading System (SENAF)
 Association of Financial Asset Intermediaries (AIAF) through the Electronic Debt Trading System (SEND)
 Electronic Fixed Income Market (MERF)
 Alternative Fixed Income Market (MARF).
 Bloomberg Platform

MTS Spain S.A.
EuroMTS Linkers Market
BondVision
Reuters Transaction Services
Tradeweb
Xetra (XETB, XETA, ...)
Eurex (XEUB, XEUR, ...)
Broker Tec
Euronext (XLIS, XPAR, ...)
Market Axess
CaixaBank

Financial Institutions

All Trading
Altura Markets
Barclays Capital
BGC
Capital Markets
CIMD
Finacor
GFI Brokers
ICAP Europe Limited
Tullet
Tradition

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